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UBER TECHNOLOGIES, INC.
17 and OTTOMOTTO LLC

18 UNITED STATES DISTRICT COURT
19 NORTHERN DISTRICT OF CALIFORNIA
20 SAN FRANCISCO DIVISION

21 WAYMO LLC,
22 Plaintiff,
23 v.
24 UBER TECHNOLOGIES, INC.,
25 OTTOMOTTO LLC; OTTO TRUCKING
26 LLC,
27 Defendants.
28

Case No. 3:17-cv-00939-WHA

**NOTICE OF CASES EXCLUDING
TESTIMONY OF WAYMO'S
DAMAGES EXPERT
MICHAEL J. WAGNER**

Trial Date: October 10, 2017

As directed by the Court at today's pretrial conference, Defendants Uber and Ottomotto hereby provide a list of cases in which courts have excluded the opinions of Waymo's damages expert, Michael Wagner, along with copies of the relevant opinions.

1. *Intellectual Ventures I LLC v. Xilinx, Inc.*, No. 10-1065, 2014 U.S. Dist. LEXIS 62882, *12 (D. Del. Apr. 14, 2014) (Stark, J.) (excluding Mr. Wagner's testimony because "Mr. Wagner's failure even to account for the reality that at one point IV was willing to license **all** of Xilinx's alleged infringement — and obviate this litigation — for a cap of about \$2 million, and the absence of any reasonable explanation for why this reality is irrelevant, renders his analysis unreliable."). (Attachment 1.)

2. *Eagle Harbor Holdings, LLC v. Ford Motor Co.*, No. C11-5503, 2015 U.S. Dist. LEXIS 182558, *7-8 (W.D. Wash. Mar. 9, 2015) (Settle, J.) (excluding Mr. Wagner's testimony in part, regarding his ultimate conclusion on damages for accused feature APA, as "pure speculation regarding actual use of the APA. While liability may be based on such circumstantial evidence, damages may not."). (Attachment 2.)

3. *Eagle Harbor Holdings, LLC v. Ford Motor Co.*, No. C11-5503, 2015 U.S. Dist. LEXIS 33108, *3-4 (W.D. Wash. Mar. 16, 2015) (Settle, J.) (excluding Mr. Wagner's testimony in part, regarding his reasonable royalty analysis, as Mr. Wagner's trial exhibits disclosed multiple possible dates of first infringement but Mr. Wagner's calculation was only based on one, and the "assumption is pure speculation" that the parties could have been in the same bargaining position at the various dates; instead, "Mr. Wagner should have conducted a hypothetical negotiation for each possible date of first infringement."). (Attachment 3.)

4. *Cybergym Research LLC v. Icon Health & Fitness, Inc.*, No. 2:05-cv-527, 2007 U.S. Dist. LEXIS 102194, *13 (E.D. Tex. Oct. 7, 2007) (Folsom, J.) (excluding Mr. Wagner's testimony in part, regarding his application of a royalty rate to retail level margins for inducement infringement because, "revenues at the retail level were already taken into account when calculating the royalty rate for direct infringement. Such 'double dipping' cannot be allowed"). (Attachment 4.)

5. *Mattel, Inc. v. MGA Entm't, Inc.*, No. CV 04-9049, 2011 U.S. Dist. LEXIS 26995,

1 at *20 (C.D. Cal. Mar. 4, 2011) (Carter, J.) (excluding Mr. Wagner’s testimony in part, regarding
 2 his lost license fee and reasonable royalty opinion, because it is both “unreliable” and “irrelevant
 3 to the damages calculation entrusted to the fact-finder”). (Attachment 5.)

4 6. *Apple, Inc. v. Motorola, Inc.*, No. 1:11-cv-08540, 2012 U.S. Dist. LEXIS 105387,
 5 at *22 (N.D. Ill. May 22, 2012) *aff’d in part and rev’d in part on other grounds*, 757 F3d 1286
 6 (Fed. Cir. 2014) (Posner, J.) (excluding Mr. Wagner’s testimony because he did not “use the same
 7 approach (if it is feasible for him to do so) that he would use outside the litigation context”).
 8 (Attachment 6.)

9 7. *Freeny v. Murphy Oil Corp.*, No. 2:13-CV-791, 2015 WL 11089607 at *3 (E.D.
 10 Tex.) (Payne, J.) (excluding Mr. Wagner’s testimony in part, to the extent that it (1) relied on
 11 documents created after the close of fact discovery based on undisclosed data, (2) included a
 12 design-around option that was not disclosed during discovery, and (3) included pre-suit damages,
 13 where “[t]he record is devoid of any evidence suggesting any products were produced that
 14 required marking”). (Attachment 7.)

15 8. *Apple, Inc. v. Samsung Elecs. Co., Ltd.*, No. 11-CV-01846, 2012 WL 2571332, at
 16 *6 (N.D. Cal. June 30, 2012) (Koh, J.) (excluding Mr. Wagner’s testimony in part, on the issues
 17 of apportionment and demand, because (1) his “apportionment of damages with respect to
 18 Apple’s design patent infringement claims is contrary to law, it is unreliable under FRE 702 and
 19 *Daubert* and unduly prejudicial under FRE 403,” raising “serious doubts about the reliability of
 20 Mr. Wagner’s arithmetic, the flaws of which are apparent even on the face of some of his
 21 calculations,” and (2) his opinion “that demand for the patented feature must be addressed under
 22 the first *Panduit* factor is contrary to law, and therefore will not assist the trier of fact.”).
 23 (Attachment 8.)

1 Dated: September 27, 2017

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